



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/862,626	05/22/2001	Z. J. Reid	2001B046

CONFIRMATION NO. 1466

FORMALITIES LETTER



OC00000006324475

ExxonMobil Chemical Company
P.O. Box 2149
Baytown, TX 77522-2149

Date Mailed: 07/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

00/07/E001 NROCHA1 00000029 051715 09862626

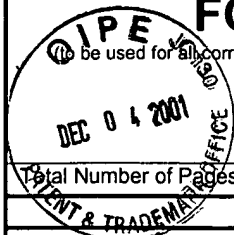
01 FC:105 130.00 CH

2161\$

12-04-2001

U.S. Patent & TMO/TM Mail Rpt Dt. #76

TRANSMITTAL FORM



Application Number	09/862,626
Filing Date	May 22, 2001
First Named Inventor	Z. J. Reid, et al.
Group Art Unit	2161
Examiner Name	Unassigned
Attorney Docket Number	2001B046

RECEIVED

DEC 13 2001

Technology Center 2100

Total Number of Pages in This Submission 6

ENCLOSURES (check all that apply)

- | | | |
|--|--|--|
| <input type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Amendment / Response
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input checked="" type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Certified Copy of Priority Documents)
<input checked="" type="checkbox"/> Response to Missing Part/ Incomplete Application
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)
<input type="checkbox"/> Drawing(s)
<input type="checkbox"/> Licensing-related Papers
<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition
<input type="checkbox"/> To Convert a Provisional Application
<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Request for Refund | <input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<u>Post Card and Declaration</u> |
|--|--|--|

REMARKS

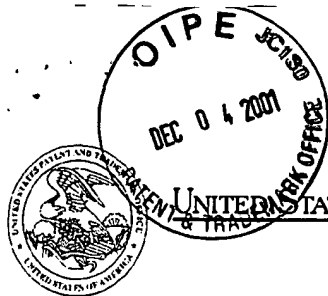
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Paige Schmidt	Registration No.	38,556
Signature	<i>Paige Schmidt</i>		
Date	November 30, 2001		

CERTIFICATE OF MAILING

I hereby certify having information and a reasonable basis for belief that this correspondence will be deposited with the United States Postal Service as First Class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: November 30, 2001

Typed or printed name	Paige Schmidt		
Signature	<i>Paige Schmidt</i>	Date	November 30, 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/862,626	05/22/2001	Zachariah J. Reid	2001B046

CONFIRMATION NO. 1466

FORMALITIES LETTER



OC000000006851816

ExxonMobil Chemical Company
P.O. Box 2149
Baytown, TX 77522-2149

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DEC 13 2001

Technology Center 2100

Date Mailed: 10/05/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 07/30/2001 to the Notice to File Missing Parts (Notice) mailed 07/20/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The required items noted below SHOULD be filed along with any items required above. The filing date of this nonprovisional application will be the date of receipt of the items required above.

- The signature of the following inventor(s) is missing from the oath or declaration:
Daniel R. Schuessler

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



SECTOR \$
#3

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (12-97)
Approved for use through 9/30/00. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application	09/862,626	
	Filing Date	May 22, 2001	
	First Named	Zachariah J. Reid, et al.	
	Group Art Unit	Unassigned	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	6	Attorney Docket Number	2001B046

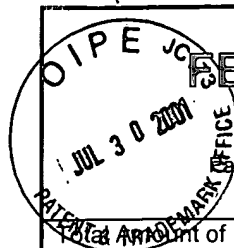
ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): Post Card and Declaration
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Paige Schmidt Registration No. 38,556
Signature	<i>Paige Schmidt</i>
Date	July 27, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: July 27, 2001			
Typed or printed name	Paige Schmidt		
Signature	<i>Paige Schmidt</i>	Date	July 27, 2001

+

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



FEE TRANSMITTAL

Note: Effective October 1, 1997.
Patent fees are subject to annual revision.

Complete if Known

Application Number	09/862,626
Filing Date	May 22, 2001
First Named Inventor	Zachariah J. Reid, et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Dock # Number	2001B046

Total Amount of Payment (\$ 130.00

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit Account Number: 05-1715

Deposit Account Name: ExxonMobil Chemical - Polymers

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

FEE CALCULATION

1. FILING FEE

Large Entity Fee Code	Fee Description	Fee Paid
101	Utility filing fee	
106	Design filing fee	
107	Plant filing fee	
108	Reissue filing fee	
114	Provisional filing fee	

SUBTOTAL (1)

2. CLAIMS

Large Entity Fee Code	Fee Description	Fee Paid
103	Claims in excess of 20	
102	Independent claims in excess of 3	
104	Multiple dependent claim	
109	Reissue independent claims over original patent	
110	Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2)

3. ADDITIONAL FEES

Fee Code	Large Entity Fee \$	Fee Description
105	130	Surcharge - late filing fee or oath
127	50	Surcharge - late provisional filing or cover sheet.
139	130	Non-English specification
147	2,520	For filing a request for reexamination
112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	Requesting publication of SIR after Examiner action
115	110	Extension for reply within first month
116	390	Extension for reply within second month
117	890	Extension for reply within third month
118	1,390	Extension for reply within fourth month
128	1,890	Extension for reply within fifth month
119	310	Notice of Appeal
120	310	Filing a brief in support of an appeal
121	270	Request for oral hearing
138	1,510	Petition to institute a public use proceeding
140	110	Petition to revive - unavoidable
141	1,240	Petition to revive - unintentional
142	1,240	Utility issue fee (or reissue)
143	440	Design issue fee
144	600	Plant issue fee
122	130	Petition to the Commissioner
123	50	Petitions related to provisional applications
126	240	Submission of information Disclosure Stmt.
581	40	Recording each patent assignment per property (times number of properties)
146	710	Filing a submission after final rejection (37 CFR 1.129(a))
149	710	For each additional invention to be examiner (37 CFR 1.129(b))

Other fee (specify) Notice to File Missing Parts

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

FEES PAID

\$ 130.00

\$ 130.00

Typed or Printed Name Paige Schmidt

Reg. No. 38,556

Signature

Paige Schmidt

Date July 27, 2001

Deposit Account User ID 05-1715